



# UIIA

UNIFORM INTERMODAL  
INTERCHANGE AND FACILITIES  
ACCESS AGREEMENT

## MOTOR CARRIER QUICK REFERENCE GUIDE FOR COMPLETING REQUIRED PAPERWORK FOR UIIA AGREEMENT

The following is a summary of the paperwork that must be filed with the UIIA in order to participate in the Uniform Intermodal Interchange and Facilities Access Agreement.

- **[Form 2 – The Participating Party Agreement signature page](#)** must be completed. It must include your company name, authorized representative's signature and printed name, address, phone and fax number, e-mail address, and your SCAC Code. All Motor Carriers must also provide their US DOT Number and Federal Tax ID Number on Form 2. In addition, a Motor Carrier Operating Authority Number (MC Number) will be required if applicable to your company's business operations.
- **[Form 3-A](#)** – If you are paying your Annual Administrative Service Fee by VISA, MasterCard, or American Express, you will need to complete the payment information online when you register. If you are paying your Annual Administrative Service Fee by ACH, please apply your bank information online when you register.

**[Click here](#)** to see how to Manage Payment of Annual UIIA Service Fee for New MC Accounts.

- **[Form 4](#)** – If your company does not have a Standard Carrier Alpha Code (SCAC Code), you will need to complete the **Standard Carrier Alpha Code Application**. The National Motor Freight Traffic Association (NMFTA) assigns this code. You will need to either mail the application with a check for \$83.00 (\$91.00 US FUNDS if the check is payable through a Canadian Bank) to National Motor Freight Traffic Association at the address shown on the application or if paying for SCAC Code by credit card, you can fax it to NMFTA at 703-683-6296 or 703-683-6046. **You may also apply online for your SCAC Code at <https://secure.nmfta.org> for a reduced fee of \$72.00. YOUR UIIA APPLICATION WILL NOT BE COMPLETE UNTIL THIS CODE IS ASSIGNED BY NMFTA.**
- **[Form 5 – Instructions to your insurance agent](#)** – You will need to complete **Form 5c (Equipment Provider Checklist)** by checking off the Equipment Providers that your company does business with. **After completing Form 5c, please forward this form over to your insurance agent for them to complete your insurance information online.**

### Note:

- 1) All **[limits for Equipment Providers](#)** outlined in the **[insurance instructions](#)** are shown in US Funds. If your insurance agent will be providing limits in Canadian Funds, they should be equivalent to the limits shown in US Funds.
  - 2) In addition, as a signatory to the UIIA your company is contractually obligated to ensure that the insurance policies provided on behalf of your company for purposes of the your participation in the UIIA are endorsed to provide 30 days advance notice of cancellation unless cancellation is a result of non-payment, in which 10 days notice is required. Please make sure your insurance agent is aware of this fact when binding coverage for your company.
- **US DOT Number/MC Number** – All Motor Carriers must maintain an active US DOT Number and, if applicable, an active Motor Carrier operating authority number (MC Number). This information will be checked by the UIIA through the FMCSA/Safer System.

Once you have completed all the required paperwork, fax this information to our office at (301)982-3414 or (301)982-5478. Failure to provide complete information will delay the processing of your paperwork. Questions? Call toll-free 1-877-438-8442.

UNIFORM INTERMODAL INTERCHANGE  
AND  
FACILITIES ACCESS AGREEMENT

(A Program of the Intermodal Association of North America)

Participating Party Agreement

The Party named below agrees that by executing the Uniform Intermodal Interchange and Facilities Access Agreement (UIIA) it will be bound by the provisions of the UIIA, and subsequent amendments and/or revisions of that Agreement, and any addendum thereto, that does not conflict with the terms of this Agreement, which govern the interchange and use of Equipment in intermodal interchange service. The Provider named below agrees that in its interchange activities with Motor Carrier participants who are signatories to the Agreement, this Agreement will be the only Agreement it will use **and that this Agreement applies to all Interchanges of Equipment between the Parties**, unless superseded in whole by a separate bilateral written equipment interchange agreement. **[Revised 05/22/19]**

This Agreement shall be effective unless cancelled in writing, by mutual consent of the Parties, or by any Party upon thirty (30) days prior Notice to the other Party. A copy of the required written Notice must be provided to the President of IANA at the time it is issued. **[Revised 08/01/14]**

COMPANY NAME: \_\_\_\_\_

AUTHORIZED BY: (Print or Type) \_\_\_\_\_

SIGNATURE: \_\_\_\_\_ TITLE: \_\_\_\_\_

BUSINESS ADDRESS: \_\_\_\_\_  
(Mailing Address) No. Street City

State/Province Zip/Postal Code Phone No. Fax E-Mail

Indicate Nature of Business: \_\_\_\_\_ Motor Carrier \_\_\_\_\_ Provider

If Motor Carrier, please check all that apply to your business operations:  Smartway Carrier

For Hire  Private  Interstate  Intrastate  Commercial Zone/Terminal Area Operator

Standard Carrier Alpha Code (SCAC): \_\_\_\_\_

MC Number: \_\_\_\_\_ DOT Number: \_\_\_\_\_

Tax Identification No. or Canadian Business Number: \_\_\_\_\_

The provisions of this agreement shall become effective on the date accepted by the Association of the above named carrier and published in the list of subscribers or supplements thereto.

Acceptance Date: \_\_\_\_\_ By: Debbie Sasko  
Assistant Vice President  
Intermodal Information Services

Due to GDPR, if you are an EU resident, please check below:

- [ ] I have read and accepted the IANA Privacy Policy: <http://intermodal.org/privacy-policy>
- [ ] I have read and provide consent to the use of my personal data: <http://intermodal.org/forms/eu-gdpr>





## FORM 3-A

### Annual Fee Schedule

(Effective January 1, 2020)

#### ANNUAL ADMINISTRATIVE SERVICE FEE (Motor Carrier)

|  |             |
|--|-------------|
| Annual Fee .....                         | \$ 344.00*  |
| Discounted Fee for members of IANA ..... | \$ 303.00** |

\* Annual fee includes: All notification/customer service activities associated with the Uniform Intermodal Interchange and Facilities Access Agreement; semi-annual issues of *Intermodal Insights* (IANA's monthly newsletter-March & July).

\*\* Members of the Intermodal Association of North America (IANA) are eligible for a discounted annual UIIA fee. Please call if you are unsure whether your company is a member of IANA's Motor Carrier Division.

#### UIIA PAYMENT INFORMATION

##### Make Your Payment Online

1. Logon to your UIIA account by visiting <https://www.uiia.org/motor-carrier-login> and click "UIIA Invoices" on the left navigation.
2. Click on the "**Pay Now**" button for an OPEN invoice to make payment using a Credit/Debit card or via ACH Debit.
3. You can also enroll in "**Auto Pay**". Click the checkbox "**Enable Auto Pay**" on the payment methods page and save it for future payments.

**Note: All credit card or ACH payments must be submitted online by the Motor Carrier. This charge will appear on your credit card or bank (for ACH or debit card payments) statements as Intermodal Association of North America.**

#### Payment by Checks:

Checks or money orders for **new applications** should be made payable to the **Intermodal Association of North America** and must be in U.S. Funds and drawn on a U.S. bank. Mail form and check to UIIA, 11785 Beltsville Drive, Suite 1100, Calverton, Maryland 20705-4048; toll-free: 1-877-438-8442. Checks not in compliance will be returned. Checks returned from the bank for non-payment will be assessed a \$25.00 processing fee. All UIIA fees are nonrefundable. Please include remittance advice with payment. To update your name or address, please access your account on-line at [www.uiia.org](http://www.uiia.org). (Note: Check payments may take up to 10 business days to be processed and applied to accounts once payment is received. When mailing payments, please make sure to allow sufficient time for the payment to reach us and be posted to your account.)

|                      |               |           |                |        |
|----------------------|---------------|-----------|----------------|--------|
| FOR IANA<br>USE ONLY | DATE RECEIVED | INVOICE # | CHECK APPROVAL | D<br>M |
|----------------------|---------------|-----------|----------------|--------|

# Equipment Provider List

CHECK ALL APPROPRIATE BOXES

Form 5C  
10/09/2020

- ACL/Grimaldi Group/Inarme (\*) (\*\*)
- ANL Singapore Co. Pte. Ltd. (formerly: US Lines LLC)
- APL Co. Pte Ltd/Eagle Marine Services (EMS) (\*) (\*\*)
- Bermuda Container Line, Limited (\*)
- BNSF Railway Company (\*)
- Bridge Chassis Supply LLC & Affiliates (Kawasaki Kisen Kaisha Ltd., "K" Line America, Inc., "K" Line New York, Inc. and Multimodal Engineering Corporation) (\*) (\*\*)
- Canadian National/Illinois Central Railroad (\*)
- Canadian Pacific Railway-US (SOO Line and D&H) (\*)
- CCM - Consolidated Chassis Management LLC (\*) (\*\*) (NON-UIIA EP)**
- CMA-CGM (America) LLC
- COFC Logistics LLC
- COSCO Shipping Lines Co., Ltd./COSCO Shipping Lines (North America), Inc.
- CSX Intermodal Terminals, Inc. (\*) (\*\*)
- Eimskip USA, Inc.
- Evergreen Shipping Agency (America) Corporation (\*) (\*\*)
- Flexi-Van Leasing, LLC (\*) (\*\*)
- Hamburg Sud North America, Inc. (\*) (formerly HSAC Logistics, Inc.)
- Hapag-Lloyd (America) Inc. (\*) (\*\*)
- HMM Co. Ltd. (formerly Hyundai Merchant Marine, Inc.) (\*\*)
- Interpool, Inc. d/b/a TRAC Intermodal, its affiliates, subsidiaries and Chassis Lessors (\*) (\*\*) (NON-UIIA EP)**
- Iowa Interstate Railroad Ltd.
- ISO Tank Chassis Services LLC
- Kansas City Southern Railway (KCS) - (\*)
- MACS Maritime Carrier Shipping LLC (formerly: Galborg Pte) (\*) (\*\*)
- Maersk Line Limited (\*)
- Maersk Line U.S.A. as agent for Maersk Line A/S dba Maersk Line/Safmarine/Maersk Domestic/Sealand (\*)
- Matson Navigation Company of Alaska LLC (\*)
- Mediterranean Shipping Co. SA (\*) (\*\*)
- Milestone Chassis Company LLC (\*) (\*\*)
- Milestone Equipment Company LLC (\*) (\*\*)
- National Shipping of America, LLC, c/o National Shipping Agencies (\*)
- Norfolk Southern Corporation (\*)
- North American Chassis Pool Cooperative LLC (\*) (\*\*)
- Ocean Network Express North America, Inc./ Ocean Network Express PTE LTD (\*) (\*\*)
- Odyssey FoodTrans LLC (\*) (\*\*)
- OOCL (USA), Inc as agent for Orient Overseas Container Line Limited. & OOCL (Europe) Limited (\*) (\*\*)
- Pasha Hawaii Holdings LLC (\*) (\*\*)
- Sarjak Container Lines Pvt. Ltd.
- Schuyler Line Navigation Company LLC
- Seaboard Marine Ltd. (\*) (\*\*)
- SM Lines Corporation (\*) (\*\*)
- Somers Isles Shipping Ltd. (\*)
- Swire Shipping (formerly Indotrans, Inc. & Indotrans Pacific)
- The Genset Pool, LLC (\*) (\*\*)
- Tiger Cool Express LLC (\*)
- Tote Maritime Puerto Rico LLC (formerly Sea Star Lines, LLC) (\*) (\*\*)
- Turkon Container Transportation & Shipping, Inc.
- Union Pacific Railroad Co. (\*\*)
- Virginia International Terminals, Inc.(Virginia Inland Ports) (\*) (\*\*)
- Wan Hai Lines, Ltd. (\*)
- XPO Stacktrain, LLC (\*) (\*\*)
- Yangming Marine Transport c/o Yang Ming (America) Corporation (\*) (\*\*)
- Zim American Integrated Shipping Service Co LLC (\*) (\*\*)

Matson Navigation Company (\*) (\*\*) 6

**Note:** All the above providers require to be named additional insured on the Auto Liability. In addition to naming the companies indicated above additional insured on Auto Liability:

(\*) The companies above indicated with a single asterisk require that you make them additional insured on your General Liability Policy.

(\*\*) The companies above indicated with a double asterisk require that you make them additional insured on your Cargo and/or Trailer Interchange Coverages.

The MC should complete this form and fax it to their insurance agent or you can complete this form on-line when you login to your UIIA account at: [www.uiia.org](http://www.uiia.org) and click on "Update EP List".

**MOTOR CARRIERS COMPANY NAME:** \_\_\_\_\_

**ADDRESS:** \_\_\_\_\_

**PHONE:** \_\_\_\_\_ **FAX:** \_\_\_\_\_

**MOTOR CARRIERS EMAIL ADDRESS:** \_\_\_\_\_

**INS. AGENT OR INS. CO. SIGNATURE: X** \_\_\_\_\_ **DATE:** \_\_\_\_\_

**INS. AGENT OR INS. CO. EMAIL ADDRESS:** \_\_\_\_\_

**This form must be submitted on-line by the insurance agent.**

**REMINDER: SECTION F.6. OF THE UIIA REQUIRES THIRTY (30) DAYS NOTICE OF ANY CANCELLATION OF THE INSURANCE POLICIES BE PROVIDED TO IANA, UNLESS CANCELLATION IS A RESULT OF NON-PAYMENT OF PREMIUM IN WHICH CASE TEN (10) DAYS ADVANCE NOTICE IS REQUIRED.**

\*Note: This form is not available for use in Texas.



# STANDARD CARRIER ALPHA CODE (SCAC®) APPLICATION

The Standard Carrier Alpha Code (SCAC) is a unique two-to four-letter code assigned to transportation companies for identification purposes. The SCAC is required for U.S. Customs entry, Electronic Data Interchange (EDI), intermodal interchange agreements, when doing business with many shippers, on rate tariffs filed with regulatory agencies, and doing business with the U.S. Government. The SCAC application fee is **\$85.00** (\$93.00 if payment is made by check in U.S. dollars payable through a Canadian bank). Make checks and money orders payable to **NMFTA**. Mail completed application and payment to:

**National Motor Freight Traffic Association, Inc., 1001 North Fairfax Street, Suite 600, Alexandria, VA 22314.**

Applications paid by credit card may be **faxed to (703) 683-6296 or 6046**. See the reverse side of this application for instructions and further information. Call **(703) 838-1831** if you have questions.

**Applications may be submitted online at <https://secure.nmfta.org/> at a reduced fee of \$74.00.**

NMFTA assigns SCACs to all companies except railroads. Railroads should contact Railinc Services, 7001 Weston Parkway, Suite 200, Cary, NC 27513, (877) 724-5462. Companies seeking identification marks for trailers, containers or chassis equipment operating in intermodal service should call NMFTA at (703) 838-1832 for further instructions.

**Please Type or Print in Black Ink (see reverse side for instructions)**

## 1) Applicant/Company Information:

Legal Name \_\_\_\_\_  
Trade Name \_\_\_\_\_  
Mailing Address \_\_\_\_\_  
City \_\_\_\_\_ State \_\_\_\_\_ ZIP/Postal Code \_\_\_\_\_ Country \_\_\_\_\_  
Contact Person \_\_\_\_\_ Email \_\_\_\_\_  
Phone \_\_\_\_\_ Fax \_\_\_\_\_

## 2) Name Change: (Please list previous SCAC, Company Name and Address—leave blank if not applicable)

SCAC \_\_\_\_\_ Company Name \_\_\_\_\_  
City \_\_\_\_\_ State \_\_\_\_\_ ZIP/Postal Code \_\_\_\_\_ Country \_\_\_\_\_

## 3) Type of Company/Operation: (check the descriptions that best describe the applicant)

- |   |  |
|---|--|
| <input type="checkbox"/> Motor Carrier by highway (trucks, busses, etc.)—select one below | <input type="checkbox"/> Air Carrier—airline, air taxi, helicopter service |
| <input type="checkbox"/> Interstate<br>MC # _____ or MX # _____, U.S. DOT # _____         | <input type="checkbox"/> Broker—transportation broker MC# _____            |
| <input type="checkbox"/> Intrastate or Local Cartage                                      | <input type="checkbox"/> Freight Forwarder—[ ] air [ ] surface FF# _____   |
| <input type="checkbox"/> Canada only  | <input type="checkbox"/> Leasing Company—equipment leasing or renting      |
| <input type="checkbox"/> Mexico only  | <input type="checkbox"/> NVOCC—non-vessel operating common carrier         |
| <input type="checkbox"/> Private Carrier—not for hire                                     | <input type="checkbox"/> Pipeline  |
| <input type="checkbox"/> Transporter of exempt commodities                                | <input type="checkbox"/> Tariff Publisher                                  |
| <input type="checkbox"/> U.S. Government owned  | <input type="checkbox"/> Travel Agent                                      |
| <input type="checkbox"/> Exempt <input type="checkbox"/> Other _____                      | <input type="checkbox"/> Steamship Agent                                   |
|   | <input type="checkbox"/> Water Carrier—VOCC, steamship, barge, car ferry   |

**4) U.S. Border Crossing Requirements:** NMFTA's ACE-Ierate program can expedite your border crossing from Canada or Mexico into the U.S. We can also produce PAPS and PARS compliant barcode labels. For more information call (866) 411-6632 or check the box below and we will call you:  Please contact me about ACE-Ierate or PAPS/PARS labels  No thanks

## 5) Applicant's Representative (person completing this application): (leave blank if applicant)

Do you want the annual renewal notice sent to the Applicant's Representative? Yes / No (please circle)  
Company \_\_\_\_\_  
Mailing Address \_\_\_\_\_  
City \_\_\_\_\_ State \_\_\_\_\_ ZIP/Postal Code \_\_\_\_\_ Country \_\_\_\_\_  
Contact Person \_\_\_\_\_ Email \_\_\_\_\_  
Phone \_\_\_\_\_ Fax \_\_\_\_\_

## 6) Credit Card Payment Information:

VISA  MasterCard  American Express  Discover **\$85.00 U.S. Funds**

Card Number \_\_\_\_\_ Expiration Date (Month/Year) \_\_\_\_\_ / \_\_\_\_\_  
Address where you receive your statement \_\_\_\_\_  
City \_\_\_\_\_ State \_\_\_\_\_ Zip/Postal Code \_\_\_\_\_ Country \_\_\_\_\_  
Person's name on card \_\_\_\_\_ Signature \_\_\_\_\_

## 7) SCAC Certificate Delivery: I prefer to have my SCAC Certificate delivered by email only instead of mail. Yes / No (please circle)

## EXPLANATION AND INSTRUCTIONS FOR THE STANDARD CARRIER ALPHA CODE APPLICATION

The Standard Carrier Alpha Code (SCAC) is a unique two-to four-letter code assigned to transportation companies for identification purposes. The SCAC is required for Electronic Data Interchange (EDI), intermodal interchange agreements, U.S. Customs entry, when doing business with many shippers, on rate tariffs filed with regulatory agencies, government bills of lading, and on bids for government traffic. SCACs are published in the Directory of Standard Carrier Alpha Codes, NMF 101 Series, copyrighted by the National Motor Freight Traffic Association, Inc. (NMFTA).

The SCAC application fee is **\$85.00** (*\$93.00 if payment is made by check in U.S. dollars payable through a Canadian bank*). Make checks and money orders payable to **NMFTA**. The SCAC will remain valid through July 1 of the year following the year of assignment and must be renewed annually thereafter. You will be notified of the SCAC assignment by mail. **Applications may be submitted online at <https://secure.nmfta.org/> at a reduced fee of \$74.00.**

The National Motor Freight Traffic Association, Inc. assigns SCACs for all companies except railroads. Railroads should contact Railinc Services, 7001 Weston Parkway, Suite 200, Cary, NC 27513, (877) 724-5462. Companies seeking identification marks for trailers, containers or chassis equipment operating in intermodal service should call NMFTA at (703) 838-1832. All other inquiries should be directed to NMFTA at (703) 838-1831.

### 1) Applicant/Company Information:

Provide the complete Legal Name and Trade Name (if applicable) of the applicant/company. A Trade Name is sometimes known as the "doing business as", "d/b/a", "trading as", or "t/a name". For motor carriers and freight forwarders, NMFTA will use the Legal Name and/or Trade Name as they have been registered with the U.S. Department of Transportation. If the applicant does not have a Trade Name, leave this space blank.

Provide the complete mailing address, city, state, zip/postal code and country for the main office of the applicant. All correspondence will be directed to the Contact Person unless otherwise specified in the Applicant's Representative section.

Provide the e-mail, telephone, and fax information for the carrier contact person. International companies should include the country and city telephone codes.

### 2) Name Changes: If the company to be assigned a code previously operated under a different name, complete this section.

### 3) Type of Company/Operation: (Check all applicable operations and descriptions of applicant/company.)

Motor carrier is a company or person operating vehicles over the highways. Applicants should identify:

MC#, MX# and U.S. DOT#-If applicable, provide all certificate or docket numbers as issued by the Federal Motor Carrier Safety Administration, Interstate Commerce Commission, or U.S. Department of Transportation. If the authority has been applied for, but not yet issued, write "Pending" in this space. NMFTA will validate the company name and registration numbers with these agencies.

Exempt applies to carriers operating in the U.S. and exclusively transporting exempt commodities for compensation.

Private Carrier means a company involved in transportation on a not-for-compensation basis. A company transporting its own goods on its own vehicles without compensation for the transportation service would be a private carrier.

U.S. Government applies to a carrier owned by the U.S. Government.

Air Carrier identifies a company that transports people or goods via air including helicopters.

Broker is a person or company, other than a motor carrier or an employee or agent of a motor carrier that, as a principal or agent sells, offers for sale, negotiates for, or holds itself out by solicitation, advertisement, or otherwise as selling, providing, or arranging for, transportation by motor carrier for compensation. Brokers operating in the United States are required to obtain a license from the Federal Motor Carrier Safety Administration and should include the docket number on the line provided.

Freight Forwarder is a person or company holding itself out to the general public (other than as a pipeline, rail, motor, or water carrier) to provide transportation of property for compensation and, in the ordinary course of its business--(A) assembles and consolidates, or provides for assembling and consolidating, shipments and performs or provides for break-bulk and distribution operations of the shipments; (B) assumes responsibility for the transportation from the place of receipt to the place of destination; and (C) uses for any part of the transportation a carrier subject to jurisdiction of the ICC Termination Act. In the United States, surface freight forwarders, as previously described, are required to register with the Federal Motor Carrier Safety Administration and are issued certificate numbers beginning with "FF". This "FF" number should be included on the line provided. Non-regulated forwarders should leave this line blank.

Tariff Publishing Agent is an individual or company that publishes rates for carriers. Agents for household goods carriers should identify any MC or U.S. DOT numbers they hold in their own name, otherwise select Intrastate or Local Cartage.

Water Carrier is a company engaged in transportation by vessel over a body of water such as a vessel operating common carrier, steamship line, tug boat operation, barge line, ferry, etc.

### 4) U.S. Border Crossing Requirements:

ACE-Ierate is NMFTA's system for expediting electronic manifests to U.S. Customs and Border Protection (CBP). We can also produce barcode labels meeting the requirements of CBP's Pre-Arrival Processing System (PAPS) and Canada Border Services Agency's Pre-Arrival Review System (PARS). Check the box in this section to obtain further information or visit our website at [www.nmfta.org](http://www.nmfta.org).

### 5) Applicant's Representative:

If someone other than the applicant is completing this application, complete the representative's information section including the full mailing address, city, state, zip/postal code and country. All correspondence will be directed to the contact person. Provide the e-mail, telephone, and fax information for the applicant's representative. International companies should include the country and city telephone codes. Also indicate if the annual SCAC renewal notice should be mailed to the applicant or the applicant's representative.

### 6) Credit Card Payment Information:

If payment is to be made by credit card, provide all the requested information. The "Person's Name" is the name of the person to whom the card is issued and is usually embossed on the card. The "Address where you receive your statement" is the address where you receive your monthly statement. We are required by the credit card companies to provide this information as part of the credit card validation process. Credit card payments cannot be processed unless all information, including signature, has been furnished. Applicants paying by credit card may fax the completed application to (703) 683-6296 or 6046. If paying by check or money order, return the completed application together with payment to NMFTA, 1001 North Fairfax Street, Suite 600, Alexandria, VA 22314.

### 7) SCAC Certificate Delivery:

The SCAC Certificate will be delivered by email only unless mail delivery is specified.